I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Appeal Brief - Patents, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 12, 2006.

DS 1369

BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

Applicant

Daniel F. Williams, et al.

Application No.

09/820,377

Filed

March 27, 2001

Title

DEC 1 8 2006

Apparatus, Systems and Methods For

Online, Multi-Parcel, Multi-Carrier, Multi-

Alexandra Allison

Service Enterprise Parcel Shipping

Management

Technology Center:

3600

Grp./Div.

3629

Examiner

Jamisue A. Webb

Patent Appeals

Specialist

Timothy Cole

Docket No. :

PSTM0041/MRK

# TRANSMITTAL LETTER FOR RESPONSE TO NOTIFICATION OF NON-COMPLIANT APPEAL BRIEF

Mail Stop Appeal Brief - Patents Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 140 S. Lake Ave., Suite 312 Pasadena, CA 91101-4710 December 12, 2006

#### Commissioner:

#### Enclosed are the following:

- 1. Response to Notification of Non-Compliant Appeal Brief;
- 2. Copy of Appeal Brief Transmittal (as an attachment to the Response);
- 3. Copy of date-stamped Return Postcard for Appeal Brief (as an attachment to the Response);
- 4. Copy of Appeal Brief Evidence Appendix, pages 51-156 (as an attachment to the Response);
- 5. Copy of Appeal Brief Related Proceedings Appendix, pages 157-163 (as an attachment to the Response);
- 6. Return post card.

It is respectfully submitted that the enclosed Response to Notification of Non-Compliant Appeal Brief and the copies of the Evidence Appendix and Related Proceedings sections to the Appeal Brief attached thereto, are timely filed within the one-month period set in the Notification of Non-Compliant Appeal Brief (dated

Application Serial No. 09/820,377

Transmittal of Response to Notification of Non-Compliant Appeal Brief

November 14, 2006), because the Response is filed before the expiration of December 14, 2006; no Petition for an Extension of Time is needed.

Even so, the Commissioner is hereby authorized, pursuant to 37 CFR 1.136(a)(3), to treat any concurrent or future reply or correspondence for the above-identified application, requiring a petition for an extension of time for its timely submission, as incorporating a constructive petition for extension of time for the appropriate length of time. The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17, including any required extension fees, which may be required during the **pendency** of this application, to Deposit Account No. 501574. Please show our docket number with any charge or credit to our Deposit Account.

Respectfully submitted, KHORSANDI PATENT LAW GROUP, ALC

Βv

Marilyn R. Khorsandi Reg. No. 45,744 Customer No. 29524 626/796-2856

MRK/aa Enclosures I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Appeal Brief - Patents, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 12, 2006.

Alexandra Allison

BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

Applicant

Daniel F. Williams, et al.

Application No.

09/820,377

Filed

March 27, 2001

Title

Apparatus, Systems and Methods For Online, Multi-Parcel, Multi-Carrier, Multi-Service Enterprise Parcel Shipping

Management

**Technology Center:** 

3600

Grp./Div.

3629

Examiner

Jamisue A. Webb

Patent Appeals

Specialist

**Timothy Cole** 

Docket No.

PSTM0041/MRK

#### RESPONSE TO NOTIFICATION OF NON-COMPLIANT APPEAL BRIEF

Mail Stop Appeal Brief - Patents Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 140 S. Lake Ave., Suite 312 Pasadena, CA 91101-4710 December 12, 2006

#### Commissioner:

The telephone interview on December 12, 2006 with Patent Appeal Specialist Examiner Timothy Cole is gratefully acknowledged.

The Notification of Non-Compliant Appeal Brief dated November 14, 2006 indicated that the Related Proceedings heading and section were missing from the Appeal Brief.

In the December 12, 2006 telephone interview with Examiner Cole, the undersigned attorney of record for the application (Marilyn R. Khorsandi) explained that the Related Proceedings section heading and contents were filed with the Appeal Brief and that the Related Proceedings section heading began at page 157 of the Appeal Brief and continued through page 163 Appeal Brief. A copy of the transmittal for the Appeal Brief and the return postcard for the Appeal Brief, both showing transmittal of the entire Related Proceedings section, are respectfully attached hereto.

During the December 12, 2006 telephone interview, Examiner Cole described checking the online, scanned Appeal Brief and reported that the last page of the Appeal Brief that was available online was page 51, the Evidence Appendix heading page of the Appeal Brief. The remainder of the Evidence section as originally filed with the Appeal Brief continued through page 156 of the Appeal Brief. It is respectfully submitted that the enclosed copy of the transmittal for the Appeal Brief and the return postcard for the Appeal Brief, both show transmittal of the entire Evidence Appendix section.

During the December 12, 2006 telephone interview, Examiner Cole suggested that perhaps the scanning process for the Appeal Brief had resulted in the missing Evidence Appendix and Related Proceedings Appendix sections; Examiner Cole authorized re-submission of the missing Evidence Appendix and Related Proceedings Appendix sections rather than requiring re-submission of the entire Appeal Brief. Examiner Cole's authorization to re-submit only the missing sections is gratefully acknowledged.

It is respectfully submitted that the Evidence Appendix and Related Proceedings Appendix sections of the Appeal Brief are missing due to no fault of Applicant; according to Examiner Cole's authorization to re-submit the missing Evidence Appendix and Related Proceedings Appendix sections, copies of the Evidence Appendix and Related Proceedings Appendix sections of the Appeal Brief (beginning with page 51 and continuing through page 163 (a total of 113 pages)) are respectfully attached hereto.

Respectfully submitted, KHORSANDI PATENT LAW GROUP, ALC

Marilyn R. Khorsandi

Reg. No. 45,744

Customer No. 29524

626/796-2856

MRK/aa



This paper or fee is sing deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR § 1.10. Mailing Label No. ED 329501240 US

#### ARD OF PATENT APPEALS AND INTERFERENCES

**Applicant** 

Daniel F. Williams, et al.

Application No. :

09/820,377

Filed

March 27, 2001

Title

Apparatus, Systems and Methods For Online, Multi-

Parcel, Multi-Carrier, Multi-Service Enterprise Parcel

**Shipping Management** 

Technology Center: 3600

Grp./Div.

3629

Examiner Docket No. Jamisue A. Webb

PSTM0041/MRK

#### APPEAL BRIEF TRANSMITTAL LETTER

Mail Stop Appeal Brief - Patents **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

140 S. Lake Ave., Suite 312 Pasadena, CA 91101-4710 October 30, 2006

COPY

#### Commissioner:

#### Enclosed are the following:

- 1. Check Number 2205 for the amount of \$500 to cover the Appeal Brief filing fee;
- FY 2006 Fee Transmittal (in duplicate); 2.
- 3. Statement Under 37 C.F.R. §3.73(b) by Real Party in Interest STAMPS.COM INC.;
- 4. Statement Under 37 C.F.R. §3.73(b) by Real Party in Interest ISHIP INC.;
- 5. Appeal Brief, including Claims Appendix, Evidence Appendix and Related Proceedings Appendix, (Total 163 pages); and
- 6. Return post card.

The requisite fee set forth in 37 C.F.R. §41.20(b)(1) for filing a Notice of Appeal was presented with the filing of the Notice of Appeal which is recorded on the U.S. Patent and Trademark Office PAIR System as having been received on September 1, 2006.



The requisite fee set forth in 37 C.F.R. §41.20(b)(2) for filing this Appeal Brief is presented herewith.

It is respectfully submitted that the Enclosed Appeal Brief is timely filed because it is filed before the expiration of the two-month period from the date of filing the Notice of Appeal, namely, prior to the expiration of Monday, October 30, 2006, which is the first business day following Saturday, October 28, 2006, which is the two-month date following the August 28, 2006 filing date of the Notice of Appeal.

Even so, the Commissioner is hereby authorized, pursuant to 37 CFR 1.136(a)(3), to treat any concurrent or future reply or correspondence for the above-identified application, requiring a petition for an extension of time for its timely submission, as incorporating a constructive petition for extension of time for the appropriate length of time. The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17, including any required extension fees, which may be required during the **pendency** of this application, to Deposit Account No. 501574. Please show our docket number with any charge or credit to our Deposit Account. A **copy of this letter is enclosed.** 

Respectfully submitted, KHORSANDI PATENT LAW GROUP, ALC

Marily D. Khar

Marilyn R. Khorsándi Reg. No. 45,744 Customer No. 29524

626/796-2856

MRK/aa Enclosures



#### Attorney Docket No. PSTM0041/MRK

Title: Apparatus, Systems and Methods For Online, Multi-Parcel, Multi-Carrier, Multi-Service Enterprise Parcel Shipping Management

Date Sent - October 30, 2006 Via Express Mail No. ED 329501240 U\$ **Documents Sent:** 

OCT 3 0 7006

- Appeal Brief Transmittal Letter (in duplicate)
- Check No. 2205 fist Appeal Brief fee; Fee Transmittal FY 2006 (in duplicate);
- Statement Under 37 C.F.R. §3.73(b) by Real Party in Interest STAMPS.COM INC.;
- Statement Under 37 C.F.R. §3.73(b) by Real Party in Interest ISHIP INC.;
- Appeal Brief, including Claims Appendix, Evidence Appendix and Related Proceedings Appendix, (Total 163 pages);
- X Return Post Card

Contact Person: Marilyn R. Khorsandi @ (626) 796-2856 Khorsandi Patent Law Group, ALC



## EVIDENCE APPENDIX (37 C.F.R. §41.37(c)(1)(ix) heading)

A copy of Gendreau (U.S. Patent Application Publication No. US 2001/0034608; "*Gendreau*") as relied on in the final Office Action dated May 26, 2006 is attached hereto.

A copy of Stefik et al. (U.S. Patent Application Publication No. US 2003/0115144; "Stefik") as relied on in the final Office Action dated May 26, 2006 is attached hereto.

A copy of Kara (U.S. Patent No. 6,233,568; "*Kara*") as relied on in the final Office Action dated May 26, 2006 is attached hereto.

## RELATED PROCEEDINGS APPENDIX (37 C.F.R. §41.37(c)(1)(xi) heading)

- 1. A copy of the Notice of Panel Decision from Pre-Appeal Brief Review indicating Application No. 09/684,861 remains on appeal, is attached hereto.
- 2. A copy of the Notice of Panel Decision from Pre-Appeal Brief Review indicating Application No. 09/680,654 remains on appeal, is attached hereto.
- 3. A copy of the Notice of Panel Decision from Pre-Appeal Brief Review, dated July 21, 2006, indicating the present application (Application No. 09/685,077) remains on appeal, is attached hereto.



## UNITED STATES I ... ENT AND TRADEMARK OFFICE

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

DATE MAILED: 04/18/2006

APPLICATION NO.	F11	LING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/684,861	1	0/06/2000	Paul Bilibin	PSTM0024/MRK	2827
29524	7590	04/18/2006		EXAM	INER .
KHORSANDI PATENT LAW GROUP, A.L.C. 140 S. LAKE., SUITE 312			VAN DOREN, BETH		
PASADENA, CA 91101-4710			ART UNIT	PAPER NUMBER	
				3623	<del></del>

Please find below and/or attached an Office communication concerning this application or proceeding.

Application Numbe.	Application/Co	ntrol No.	Aբ. ant(s)/Patent և Reexamination	under
1 18 1 11 <b>2 1</b> 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	00/00/ 00/			
	09/684,861		BILIBIN ET AL. Art Unit	
	Beth Van Dore	en	3623	
Document Code - AP.PRE.	DEC			
Notice of Panel De	ecision fro	m Pre-A	ppeal Brief	Review
This is in response to the Pre-Appeal Br	ief Request for l	Review filed 3/2	<u>0/06</u> .	
<ol> <li>Improper Request – The Requestor(s):</li> </ol>	uest is imprope	r and a conferer	nce will not be held fo	or the following
<ul> <li>☐ The Notice of Appeal has not been filed concurrent with the Pre-Appeal Brief Request.</li> <li>☐ The request does not include reasons why a review is appropriate.</li> <li>☐ A proposed amendment is included with the Pre-Appeal Brief request.</li> <li>☐ Other:</li> </ul>				
The time period for filing a response the mail date of the last Office comm	The time period for filing a response continues to run from the receipt date of the Notice of Appeal or from the mail date of the last Office communication, if no Notice of Appeal has been received.			
2. Proceed to Board of Patent A held. The application remains under is required to submit an appeal brief brief will be reset to be one month from the receipt of the notice appeal brief is extendible under 37 of the notice of appeal, as applicable	appeal because in accordance from mailing this e of appeal, whi CFR 1.136 base	e there is at leas with 37 CFR 41 decision, or the ichever is greate	st one actual issue fo .37. The time period be balance of the two-ner. Further, the time p	r appeal. Applicant for filing an appeal nonth time period period for filing of the
<ul> <li>☑ The panel has determined Claim(s) allowed:</li> <li>Claim(s) objected to:</li> <li>Claim(s) rejected: 1-13 &amp; 15-1</li> <li>Claim(s) withdrawn from considerations.</li> </ul>	7 <u>&amp;19-23</u> .		follows:	
<ol> <li>Allowable application – A co Allowance will be mailed. Prosecution applicant at this time.</li> </ol>	nference has be on on the merits	en held. The re remains closed	ejection is withdrawn a . No further action is	and a Notice of required by
4. Reopen Prosecution – A con action will be mailed. No further action	ference has bee lon Is required b	en held. The rejo by applicant at th	ection is withdrawn an nis time.	nd a new Office
All participants:				
(1) Beth Van Doren.		(3) <u>Tariq Ha</u>	nliz.	
(2) Susanna Diaz		(4)		





## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/680,654	10/06/2000	David Allison Bennett	PSTM0015/MRK	9943	
29524 7	29524 7590 05/19/2006			EXAMINER	
KHORSANDI PATENT LAW GROUP, A.L.C. 140 S. LAKE., SUITE 312			WEBB, JAMISUE A		
,	PASADENA, CA 91101-4710		ART UNIT	PAPER NUMBER	
			3629		
			DATE MAIL ED: 05/10/200	a	

Please find below and/or attached an Office communication concerning this application or proceeding.

Application Numb	Application/Control No.	A ant(s)/Patent under Remination			
	09/680,654	BENNETT ET AL. Art Unit			
	John G. Weiss	3629			
Document Code - AP.PRE.	DEC				
Notice of Panel De		appeal Brief Review			
	·	<del></del>			
reason(s):	uest is improper and a confere	nce will not be held for the following			
<ul> <li>☐ The Notice of Appeal has not been filled concurrent with the Pre-Appeal Brief Request.</li> <li>☐ The request does not include reasons why a review is appropriate.</li> <li>☐ A proposed amendment is included with the Pre-Appeal Brief request.</li> <li>☐ Other:</li> </ul>					
The time period for filing a response the mail date of the last Office comm	The time period for filing a response continues to run from the receipt date of the Notice of Appeal or from the mail date of the last Office communication, if no Notice of Appeal has been received.				
is required to submit an appeal brief brief will be reset to be one month from the receipt of the notice	appeal because there is at lead in accordance with 37 CFR 41 commailing this decision, or the eart appeal, whichever is greate CFR 1.136 based upon the mail	A Pre-Appeal Brief conference has been st one actual issue for appeal. Applicant .37. The time period for filing an appeal balance of the two-month time period er. Further, the time period for filing of the date of this decision or the receipt date			
The panel has determined to Claim(s) allowed: Claim(s) objected to: Claim(s) rejected: 1-21,26-52 and Claim(s) withdrawn from consider	<u>nd 57-70</u> .	ollows:			
3. Allowable application – A con Allowance will be mailed. Prosecution applicant at this time.	nference has been held. The re n on the merits remains closed	jection is withdrawn and a Notice of . No further action is required by			
4. Reopen Prosecution – A configuration will be mailed. No further action	erence has been held. The reje on is required by applicant at th	ection is withdrawn and a new Office is time.			
All participants:					
(1) John G. Weiss.	(3) <u>Jami We</u>	del humana las			
(2) Dean Nguyen. Deany	M (4)				
Palent and Trademark Office					



## UNITED STATES LATENT AND TRADEMARK OFFICE

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/685,077	10/06/2000	Paul Bilibin	PSTM0020/MRK/STM	3148	
29524 75	29524 7590 07/21/2006			EXAMINER	
KHORSANDI PATENT LAW GROUP, A.L.C.			WEBB, JAMISUE A		
	140 S. LAKE., SUITE 312 PASADENA, CA 91101-4710			PAPER NUMBER	
•		3629			
			DATE MAILED: 07/21/200	6	

Please find below and/or attached an Office communication concerning this application or proceeding.

•			
Application Number	Application/Control No.	A、.cant(s)/Patent under Reexamination	
	09/685,077	BILIBIN ET AL.	
}		Art Unit	
	John G. Weiss	3629	
Document Code - AP.PRE	.DEC		
		-Appeal Brief Review	
This is in response to the Pre-Appeal	Brief Request for Review filed	<u>6/15/06</u> .	
<ol> <li>Improper Request – The R reason(s):</li> </ol>	equest is improper and a conf	erence will not be held for the following	
The request does not include	not been filed concurrent with de reasons why a review is a included with the Pre-Appeal	the Pre-Appeal Brief Request. ppropriate. Brief request.	
The time period for filing a respon- the mail date of the last Office con	se continues to run from the re nmunication, if no Notice of Ap	eceipt date of the Notice of Appeal or from opeal has been received.	
held. The application remains und is required to submit an appeal bribrief will be reset to be one month running from the receipt of the not	er appeal because there is at left in accordance with 37 CFR from mailing this decision, or lice of appeal, whichever is great CFR 1.136 based upon the many controls.	<ul> <li>A Pre-Appeal Brief conference has been least one actual issue for appeal. Applicant 41.37. The time period for filing an appeal the balance of the two-month time period eater. Further, the time period for filing of the mail date of this decision or the receipt date</li> </ul>	

☐ The panel has determined the status of the claim(s) is as follows:

Claim(s) allowed:

Claim(s) objected to:

Claim(s) rejected: 1-7, 58-83.

Claim(s) withdrawn from consideration:

3. Allowable application – A conference has been held. The rejection is withdrawn and a Notice of Allowance will be mailed. Prosecution on the merits remains closed. No further action is required by applicant at this time.

4. Reopen Prosecution – A conference has been held. The rejection is withdrawn and a new Office action will be mailed. No further action is required by applicant at this time.

All participants:

(1) John G. Weiss.

(2) Dean Nguyen.

(3) Jamisue Webb.

(4)\_\_\_\_\_